

## Kansas Lifestyle Outcomes – Outcomes Version (KLO 2nd) SUMMARY PAGE

Person Receiving Services: \_\_\_\_\_ SSN/IDN: \_\_\_\_\_

Secondary Sources  
(Name/Relationship): \_\_\_\_\_

Reviewer: \_\_\_\_\_ Final Date Completed: \_\_\_\_\_

CDDO: \_\_\_\_\_ Survey Number: \_\_\_\_\_

Service Provider(s) [note service if multiple]: #1: [Residential] \_\_\_\_\_

#2: [Day Services] \_\_\_\_\_ #3: [Other - Specify Service] \_\_\_\_\_

No.	Outcome Measurement Description	YES	NO	Meets Standard	Process in Place	Not Present	N / A
I	Opportunities Of Choice To Support And Increase Independence, Productivity, Integration And Inclusion; Effective Access Maintained						
II	Individual Rights And Responsibilities Supported						
III	Personal Health And Safety Maintained						
IV	Use Of Psychotropic Medications Or Restrictive Practices Safeguarded						

## General Instructions For Preparing Responses

1. The person receiving the services should be the primary source of information. If necessary, others should be consulted on behalf of the person receiving services, who are well acquainted with the person and his/her lifestyle preferences, needs and communication style. Other sources of information which should be accessed as applicable to particular questions include: The person's guardian, family members or support team members; staff persons providing services and supports to the person; and, records regarding the person and his/her services. In addition, the reviewer should spend time visiting with the person in his/her home or work/day activity place where services are received. Feedback regarding the results of the survey should be provided to any relevant service provider involved in the person's life, with prompt feedback regarding any issue needing improvement.
2. In determining which rating is applicable, the reviewer should consider the following guiding definitions:

*"Meets Standard"* would indicate that all components related to the issue being addressed are present in the person's life.

*"Process In Place"* would indicate that there is a solid process to address the issue involved, but some significant piece (or pieces) is not present in the person's life at the time the issue was measured.

*"Not Present"* would indicate there is an important absence of the standard in the person's life which should be addressed immediately.

*"Not Applicable"* would indicate that the issue being addressed is not applicable to the person's life at this time.
3. Any rating other than "meets standard" or "not applicable" should be briefly explained in the comments section.
4. Terms used in this instrument will generally have these meanings:
  - a. Independence refers to the extent to which the person exerts control and choice over his/her own life.
  - b. Productivity refers to the person's participation in income-producing work that has opportunities for increased income, improved employment status, or job advancement; and/or, to the person's participation in work that contributes to a household or community.
  - c. Integration and inclusion refers to the individual's use of and access to the same community resources, community activities and/or types of employment that are used by and available to citizens without developmental disabilities; and/or, to the person living in a home close to community resources, with regular contact with citizens without developmental disabilities; and/or to having friendships and relationships with individuals and families of their own choosing.

- d. Self advocacy refers to speaking up for what you want and knowing how to get it. It means standing up for your rights as a person and knowing your responsibilities.
- e. Generic community services refers to services available to all person in the community, such as: physicians, dentists, other medical professionals, pharmacies, senior centers, community centers, grocery stores, mental health centers, transportation services, criminal justice victim assistance, legal services, domestic violence centers, support groups, etc.
- f. Natural community supports refers to non-paid supports such as: family, friends, neighbors, co-workers, faith based organizations, etc.
- g. “Red flags” as referred to in section IV, question 12, refers to the following:
  - The use of “old” psychotropic medications
  - The use of three or more psychotropic medications
  - Psychotropic medication dosages at or over the high end of typical use range.
  - No psychiatric diagnosis
  - Vague, non-specific psychiatric diagnosis
  - Excessive psychiatric diagnosis
  - Dissociation between psychiatric diagnosis and treatment
  - High utilization of PRN psychotropic medication
  - Absence of or extended time gap between formal or informal side effect monitoring.

**The reviewer is required to make the decision “is the person, who is the subject of this quality survey, living a life in a manner that would demonstrate that the outcome being monitored has been met or is in the process of being met”? This decision is based on “best evidence available” with heavy focus to the “currently experienced / observed lifestyle” in light of the person centered support plan, with person served, guardian and support team input.**

**The focus of the quality survey is to determine in a “person centered - outcome driven” manner, if the person is living the life that he/she describes in their person centered support plan, if not, have services and supports are available and have been offered sufficient to overcome the barriers (long term / short tern, functional, environmental, and/ or behavioral) that this person is experiencing?**

**If the performance outcome is determined as not being met and the reviewer determines that the current services and supports are not and more than likely will not provide the desired preferred lifestyle without programmatic evaluation and potential changes, the reviewer will then review (and rate) the applicable standards designed to ensure program compliance.**

## Outcome measure # 1

## Opportunities Of Choice To Support And Increase Independence, Productivity, Integration And Inclusion; Effective Access Maintained

<p><b>OUTCOME MEASURE NUMBER I.</b> The person (subject of this quality survey) is fulfilling their preferred lifestyles (next best options if barriers cannot be overcome). The person's life demonstrates that access is provided to required services &amp; supports that meets / exceeds their preferred lifestyle, or next best option, and the barriers are identified and cannot be overcome. Barriers to increase independence, productivity, integration and community inclusion are effectively identified and strategies are actively pursued to overcome the barriers if possible.</p>	<p><b>YES</b></p> <p><input type="checkbox"/></p> <p>[If YES, <b>STOP</b> and move on to Outcome Measure #2]</p>	<p><b>NO</b></p> <p><input type="checkbox"/></p> <p>[If NO, complete 'sub outcome' I. A.]</p>	<p>What meets this Outcome Measure</p> <p>Person is living (or is effectively working toward achieving his or her preferred lifestyle) in all five major lifestyle areas. <b><u>NOTE: If the person is on the waiting list the preferred lifestyle is not being met. If it is the person would not need services. Services are NEED based</u></b></p>
<p><b>I. A</b> The person has a PCSP in place that is current, identifies the <b>preferred lifestyle</b> in all five major lifestyle areas ( where the person chooses to live; with whom they choose to live; day / work activity; family &amp; friends; and social, recreation, leisure activities they choose to participate). The <b>plan effectively identifies</b> the person's <b>barriers</b> to achieving the preferred lifestyle, and has <b>written strategies</b> designed to facilitate planning, training, materials required including behavior supports as appropriate.</p>	<p><b>YES</b></p> <p><input type="checkbox"/></p> <p>[ If YES, <b>STOP</b> and move on to I.B ]</p>	<p><b>NO</b></p> <p><input type="checkbox"/></p> <p>[ If NO, complete standards 1 thru 5 and 12 thru 15 ]</p>	<p>What meets this Sub Outcome Measure [Color corresponds with the applicable standards]</p> <p>There is a person centered support plan written, and available that describes the current preferred lifestyle in all lifestyle areas. Identifies BARRIERS and strategies to overcome all barriers, and lists and describes the necessary training, supports, material, including required positive behavior supports necessary to support the person effectively. <b><u>NOTE: If the person is case management only a person centered support plan with a detailed preferred lifestyle is still required. A person could be on wait list and this 'sub outcome' be met. It is based on evidence of an effective and appropriate plan.</u></b></p>
<p><b>I. B</b> The person's lifestyle demonstrates that they have "access" to all necessary services and supports that are needed to ensure that the person has the assistance necessary to become successful.</p>	<p><b>YES</b></p> <p><input type="checkbox"/></p> <p>[ If YES, <b>STOP</b> and move on to I. C ]</p>	<p><b>NO</b></p> <p><input type="checkbox"/></p> <p>[ If NO, complete standards 6 thru 11 ]</p>	<p>What meets this Sub Outcome Measure [Color corresponds with the applicable standards]</p> <p>There are adequate supports in place that meets the preferred lifestyle, or are effectively addressed in a manner that appears to meet the person's needs. <b><u>NOTE: If the person is on the waiting list the preferred lifestyle is not being met. If it is the person would not need services. Services are NEED based</u></b></p>
<p><b>I. C.</b> The person's lifestyle demonstrates that the PCSP is <b>effectively and consistently implemented, including environmental changes, and appropriate positive programming is in place.</b></p>	<p><b>YES</b></p> <p><input type="checkbox"/></p> <p>[ If YES, <b>STOP</b> and move on to I. D ]</p>	<p><b>NO</b></p> <p><input type="checkbox"/></p> <p>[ If NO, complete standard 16 ]</p>	<p>What meets this Sub Outcome Measure [Color corresponds with the applicable standards]</p> <p>It is demonstrated in the life of the person that the plan as written is effectively implemented. <b><u>NOTE: If the person is on the waiting list the preferred lifestyle is not being met and it would not be possible to have an effectively implemented plan that eliminates barriers. If it is the person would not need services. Services are NEED based</u></b></p>

<p>I. D. The person, guardian (support team if person cannot or guardian is not available) express that person persons <b><u>needs are effectively addressed, appropriate supports are in place, they are satisfied with progress being made and that the person is achieving independence, productivity, integration and inclusion in his/her community.</u></b></p>	<p style="text-align: center;"><b>YES</b></p> <p style="text-align: center;"><input type="checkbox"/></p> <p style="text-align: center;">[ If YES, STOP and move on to Outcome Measure # 2 ]</p>	<p style="text-align: center;"><b>NO</b></p> <p style="text-align: center;"><input type="checkbox"/></p> <p style="text-align: center;">[ If NO, complete standards 17 - 19 ]</p>	<p>What meets this Sub Outcome Measure [Color corresponds with the applicable standards] The person, if able (verbally or through any means possible) demonstrates:</p> <ul style="list-style-type: none"> <li>* all needs are effectively met</li> <li>* all necessary supports &amp; services are in place</li> <li>* satisfaction with all services and supports</li> <li>* agreement that the person is making satisfactory progress in regard to independence, productivity, personal integration and community inclusion.</li> </ul> <p><b><i>NOTE: If the person is on the waiting list the preferred lifestyle is not being met and it would not be possible to have an effectively implemented plan that eliminates barriers. If it is the person would not need services. Services are NEED based</i></b></p>
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Standard Being Assessed							
<p>1. The person, the person's legal guardian and other people chosen from the person's support network are actively and meaningfully involved in the development of a person centered support plan (or if not involved, the reason and steps to enhance involvement are stated in the plan); and, the person or the person's legal guardian has approved the plan in writing (if either have an objection to any portion of the plan, it is noted in the plan with an explanation of any barrier to addressing the objection).</p>							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
<p>2. The plan includes a description of the person's preferred lifestyle, including what type of setting the person wants to live in, with whom the person wants to live, what work or other valued activity the person wants to do, with whom the person wants to socialize, and in what social, leisure, religious or other activities the person wants to participate.</p>							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
<p>3. If the person's identified lifestyle cannot be achieved, the plan includes an explanation of barriers to achieving any part of the lifestyle, strategies to overcome such barriers, and a description of the current next best option and how that option will be achieved.</p>							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
<p>4. In each of the major lifestyle areas, the plan identifies opportunities for personal independence, productivity, integration and community inclusion for the person; any barriers to achieving personal independence, productivity, integration and community inclusion; and strategies to overcome such barriers.</p>							

Standard Being Assessed							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
5. The plan lists and describes the necessary activities, training, materials, equipment, assistive technology and services needed to assist the person to achieve his/her identified lifestyle, including opportunities for independence, productivity, integration and inclusion.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
6. Included as part of identifying needed services or supports is a consideration of the person's health or medical needs, and a description of how those needs will be addressed.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
7. Included as part of identifying needed services or supports is a consideration of the person's behavioral support needs, and a description of how those needs will be addressed.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
8. Included as part of identifying needed services or supports is a consideration of any training or support the person needs in order to explore, maintain and/or expand personal or intimate relationships, as well as to cope with the loss of significant relationships in the person's life (such as death of a loved one, ending of a personal relationship, or change of staff), and a description of how those needs will be addressed.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
9. Included as part of identifying needed services or supports is a consideration of the person's financial management training or support needs, and a description of how those needs will be addressed.							
Meets Standard		Process In Place		Not Present		N/A	

Standard Being Assessed							
<b>COMMENTS:</b>							
10. Included as part of identifying needed services or supports is a consideration of the person's self advocacy training or support needs, and a description of how those needs will be addressed.							
<b>Meets Standard</b>		<b>Process In Place</b>		<b>Not Present</b>		<b>N/A</b>	
<b>COMMENTS:</b>							
11. Included as part of identifying needed services or supports is a consideration of the person's personal safety training or support needs, and a description of how those needs will be addressed.							
<b>Meets Standard</b>		<b>Process In Place</b>		<b>Not Present</b>		<b>N/A</b>	
<b>COMMENTS:</b>							
<b>Meets Standard</b>		<b>Process In Place</b>		<b>Not Present</b>		<b>N/A</b>	
<b>COMMENTS:</b>							
12. The development and/or use of natural community supports, or generic community services, which may assist the person to achieve his/her identified lifestyle and opportunities for personal independence, productivity, integration and inclusion, are considered as part of the person centered planning process and included in the person's plan.							
<b>Meets Standard</b>		<b>Process In Place</b>		<b>Not Present</b>		<b>N/A</b>	
<b>COMMENTS:</b>							
13. The plan describes how opportunities of choice are supported, including the person's effective communication methods; any additional training, experience or support the person may need to fully utilize choice-making skills; and assistance the person or guardian may need to understand negative consequences of risky choices the person may make.							
<b>Meets Standard</b>		<b>Process In Place</b>		<b>Not Present</b>		<b>N/A</b>	
<b>COMMENTS:</b>							
14. The plan documents that providers work together to prepare a single plan (if applicable); a lead coordinator for the preparation of the plan is identified; and, a lead coordinator for addressing the health care needs of the person is identified.							
<b>Meets Standard</b>		<b>Process In Place</b>		<b>Not Present</b>		<b>N/A</b>	

Standard Being Assessed							
<b>COMMENTS:</b>							
15. The plan is regularly reviewed and revised whenever necessary to address changes in the person's identified lifestyle and related needs, including opportunities for personal independence, productivity, integration and inclusion; or when services are not responsive to the person.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
16. Services and supports are consistently implemented in accordance with the person's current preferred lifestyle (or current next best option) and related needs, and in a manner to increase personal independence, productivity, integration and inclusion.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
17. The person, guardian and/or support team report that the person's needs are being adequately addressed and that the person is receiving the services and supports he/she needs.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
18. The person, guardian and/or support team report that the person is satisfied with how care is delivered.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
19. The person, guardian and/or support team report that the person is making progress in achieving personal independence, productivity, integration and inclusion in his/her community.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							

## Outcome measure # 2

## Individual Rights And Responsibilities Supported

<b>OUTCOME MEASURE NUMBER II.</b> The persons lifestyle demonstrates that their rights are protected and they are supported to fulfill their citizenship and community responsibilities.	<b>YES</b> <input type="checkbox"/> <b>[ If YES, STOP and move on to Outcome Measure III ]</b>	<b>NO</b> <input type="checkbox"/> <b>[ If NO, complete standards 1 thru 12 ]</b>	What meets this Sub Outcome Measure [Color corresponds with the applicable standards]  All of the standards addressed in Outcome Measure Number 2 should be effectively present, or are being addressed in a manner that assures the outcome in the persons life, to the degree that the person feels is important to them. This standard can be met when the person is receiving case management only, on a wait list, or while receiving less than requested amount of supports and services.
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Standard Being Assessed							
1. The person, his/her guardian and support network have ongoing, <i>individually-appropriate</i> opportunities to learn about his/her individual rights and responsibilities, including at least quarterly training offered by the provider.							
<b>Meets Standard</b>		<b>Process In Place</b>		<b>Not Present</b>		<b>N/A</b>	
<b>COMMENTS:</b>							
2. The person actively participates in matters impacting his/her life, including decision-making, all aspects of support planning and implementation, activities of self care (such as shopping, meal planning and preparation), home/property care (such as housekeeping, selection and purchase of personal property), choosing with whom to associate, and choosing his/her daily routine and daily activities.							
<b>Meets Standard</b>		<b>Process In Place</b>		<b>Not Present</b>		<b>N/A</b>	
<b>COMMENTS:</b>							
3. The person has time, space and opportunities for privacy, including closed doors, no one entering personal space without seeking permission, private telephone, visiting and grooming/dressing space, private mail.							
<b>Meets Standard</b>		<b>Process In Place</b>		<b>Not Present</b>		<b>N/A</b>	
<b>COMMENTS:</b>							
4. The person is supported in exploring, accessing and exercising his/her religion or faith.							

Standard Being Assessed							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
5. The person is supported in exploring, accessing and exercising his/her right to vote.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
6. The person is not being required to work without compensation (except in upkeep of the person's own living space and of common areas of shared home), including assisting with the care and support of other people receiving services.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
7. The person has control over his/her financial resources; or, if any support is needed to assist the person in managing financial resources, safeguards are in place to ensure appropriate use of the funds, access to funds and information by the person or his/her representative, and accountability for all funds.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
8. The person and guardian have been provided individually-appropriate information regarding his/her rights to confidentiality, to access records, and to decide with whom to share information.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
9. The person and guardian have been provided individually-appropriate information regarding how to access dispute resolution processes if disputes arise concerning his/her services, including such processes both for his/her service provider and for the CDDO region.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							

Standard Being Assessed							
10. Both the person and the guardian are assisted in resolving any conflict that arises between them, and are supported in understanding their respective rights, roles and responsibilities, as well as the person's preferences in regard to the conflict.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
11. The person is treated with dignity, respect and fairness; is listened to, responded to, and treated as an adult.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
12. The person reports feeling safe and secure in all of his/her environments; or if not, pro-active measures are in place to effectively detect and address the cause(s).							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							

### Outcome measure # 3

### Personal Health and Safety Maintained

<p><b>OUTCOME MEASURE III.</b> The persons, the persons guardian and / or support team. <u>lifestyle demonstrates that their personal health and safety are maintained at a level that is determined appropriate by the person</u></p>	<p><b>YES</b> <input type="checkbox"/> [ If YES, <b>STOP</b> and move on to Outcome Measure IV ]</p>	<p><b>NO</b> <input type="checkbox"/> [ If NO, complete Sub Outcome III. A ]</p>	<p>What meets this Sub Outcome Measure [Color corresponds with the applicable standards]</p> <p>All of the standards addressed in Outcome Measure Number 3 should be effectively present, or are being addressed in a manner that assures the outcome in the persons life, to the degree that the person, the persons guardian feel is important to them. This standard can be met when the person is receiving case management only, on a wait list, or while receiving less than requested amount of supports and services.</p>
<p><b>III. A.</b> The persons lifestyle demonstrates that personal safety and security measures are <u>in place to the degree that is needed by the person.</u></p>	<p><b>YES</b> <input type="checkbox"/> [ If YES, <b>STOP</b> and move on to Sub Outcome Measure III. B. ]</p>	<p><b>NO</b> <input type="checkbox"/> [ If NO, complete standards 1, 12 thru 14, 20, 21 &amp; 22 ]</p>	<p>What meets this Sub Outcome Measure [Color corresponds with the applicable standards]</p> <p>All of the standards addressed in Outcome Measure Number 3, standards 1, 12, 13 &amp; 14 should be effectively present, or are being addressed in a manner that assures the outcome in the persons life, to the degree that the person, the persons guardian feel is important to them. This standard can be met when the person is receiving case management only, on a wait list, or while receiving less than requested amount of supports and services.</p>
<p><b>III. B.</b> The person is supported or assisted as appropriate to ensure that all needed health related and medication support <u>is present in the persons life to the degree that is appropriate to the person, the persons guardian and support team.</u></p>	<p><b>YES</b> <input type="checkbox"/> [ If YES, <b>STOP</b> and move on to Sub Outcome Measure III. C. ]</p>	<p><b>NO</b> <input type="checkbox"/> [ If NO, complete standards 2 thru 11 ]</p>	<p>What meets this Sub Outcome Measure [Color corresponds with the applicable standards]</p> <p>All standards in sub section are met that assure:          *preventative, routine and physical examinations are regularly obtained          *specialized health care needs / services / equip are present          *person is supported to understand their health care needs to promote independence / personal integration and community inclusion          *medications - staff are aware, have been trained - are appropriately prescribed - reviewed to monitor effectiveness, etc.</p>

<p><b>III. C.</b> The person, the persons guardian and support team are confident that: Direct care <b><u>staff have the training sufficient</u></b> to meet the daily needs of the person, including behavioral supports as required. Evidence exists that all staff have Abuse, Neglect and Exploitation. <b><u>have background checks been performed, have received First Aid and CPR training, and that staff know how to appropriately prevent and report</u></b> And the <b><u>person, the persons guardian, as appropriate knows what to do, who to contact if someone mistreats them</u></b> (not limiting to ANE events).</p>	<p style="text-align: center;"><b>YES</b></p> <p style="text-align: center;"><input type="checkbox"/></p> <p style="text-align: center;">[ If YES, <u>STOP</u> and move on to Outcome Measure 4 ]</p>	<p style="text-align: center;"><b>NO</b></p> <p style="text-align: center;"><input type="checkbox"/></p> <p style="text-align: center;">[ If NO, <b>complete standards 15 thru 19</b> ]</p>	<p>What meets this Sub Outcome Measure [ Color corresponds with the applicable standards]</p> <p>All standards are met including:</p> <ul style="list-style-type: none"> <li>* Staff access to APS number</li> <li>* Staff aware how to prevent and report ANE</li> <li>* Staff trained in CPR - First Aid</li> <li>* Staff have completed background check</li> <li>* Person knows what to do if they are mistreated</li> </ul>
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Standard Being Assessed							
1. Personal safety and security materials (including first aid supplies and water temperature safety features as needed), and all needed health care equipment or supplies, are present, well maintained and readily available for the person.							
<b>Meets Standard</b>		<b>Process In Place</b>		<b>Not Present</b>		<b>N/A</b>	
<b>COMMENTS:</b>							
2. Preventative, routine and physical examinations are regularly obtained by the person.							
<b>Meets Standard</b>		<b>Process In Place</b>		<b>Not Present</b>		<b>N/A</b>	
<b>COMMENTS:</b>							
3. All specialized health services and/or equipment needed by the person are timely accessed and continuously maintained.							
<b>Meets Standard</b>		<b>Process In Place</b>		<b>Not Present</b>		<b>N/A</b>	
<b>COMMENTS:</b>							
4. The person is supported in knowing, making decisions about, and accessing health services and equipment; training and information about personal health maintenance and accessing available health care is provided to enhance the person's skills and independence.							
<b>Meets Standard</b>		<b>Process In Place</b>		<b>Not Present</b>		<b>N/A</b>	
<b>COMMENTS:</b>							

Standard Being Assessed							
5. Staff are knowledgeable about and responsive to the person's health services and equipment needs.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
6. Coordination of health services, medication and equipment needs between service providers (and different staff of each provider is present.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
7. Staff are aware of the medications used by the person; are knowledgeable of the purpose and potential side effects of the medications; and, know how to respond effectively if negative side effects occur.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
8. All medications consumed are prescribed or approved by the person's physician or other health care practitioner, and the person or guardian are informed about the nature and effect of all such medications and consent to their consumption.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
9. Medications are regularly reviewed to monitor their effectiveness to address the reason for which they are prescribed and for possible side effects.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
10. Medication consumption is monitored to ensure accurate consumption, and medication errors are effectively detected and responded to.							
Meets Standard		Process In Place		Not Present		N/A	

Standard Being Assessed							
<b>COMMENTS:</b>							
11. Any administration of medications or other nursing tasks or activities are performed only by staff to whom a nurse has trained and delegated the duty, and under the nurse's supervision.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
12. The person's living area is clean and well maintained, including appropriate temperature and adequate inside and outside lighting.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
13. The person's working/day service area is clean and well maintained, including appropriate temperature and adequate inside and outside lighting.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
14. Appropriate accessible space is available for the person to engage in desired activities.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
15. Staff know how to access the Adult Protective Services contact number; and, are knowledgeable about how to identify and report instances of suspected abuse, neglect or exploitation.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							

Standard Being Assessed							
16. Staff trained in CPR and first aid are present whenever services are provided.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
17. Staff have sufficient knowledge, competence and training to serve the person without oversight by another staff before working alone.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
18. Staff working with the person have had a background check, including at least criminal record, presence on any registry, and prior employment.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
19. The person knows what to do if someone mistreats him/her or fails to provide needed assistance to him/her (or is supported as needed to respond to these issues).							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
20. The person knows what to do in the event of a fire, tornado, or other emergency situations (or is supported as needed in responding safely).							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
21. The person knows how to assure his/her personal safety and security (or is supported as needed to address these issues).							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							

Standard Being Assessed							
22. If the person, guardian and/or support team feel the person has been subject to any incident of physical harm, emotional/mental harm, sexual abuse/exploitation, theft or exploitation of money or possessions, or reasonably preventable injury in the past year, the provider has effectively identified and corrected the originating cause and assisted the person in accessing emotional or other needed support.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							

## Outcome measure # 4

## Use Of Psychotropic Medications Or Restrictive Practices Safeguarded

<p><b>OUTCOME MEASURE IV.</b> The persons lifestyle demonstrates that all use of Psychotropic Medications or Restrictive Practices have appropriate <b><u>SAFEGUARDS, REVIEW &amp; MANAGEMENT PRACTICES</u></b> in place, and/or the person does not have these restrictions in place in there lives, and that the person has not been subjected to the <b><u>utilization of law enforcement authorities to respond to behavioral support issues in the past year</u></b> ( exceptions for significant danger excepted); and/or <b><u>physical seclusion / restraint has not be utilized in the past year</u></b> (exceptions for significant / imminent danger).</p>	<p style="text-align: center;"><b>YES</b></p> <p style="text-align: center;"><input type="checkbox"/></p> <p style="text-align: center;">[ If YES, <b>STOP</b> the KLO-II is completed, Congratulations]</p>	<p style="text-align: center;"><b>NO</b></p> <p style="text-align: center;"><input type="checkbox"/></p> <p style="text-align: center;">[ If NO, <b>complete standards 1 thru 12</b> ]</p>	<p>What meets this Outcome Measure [Color corresponds with the applicable standards]</p> <p>All of the standards addressed in Outcome Measure Number 4 should be effectively present. This outcome is applicable to licensed community services; standard 10 &amp; 11 are applicable to all persons regardless of service setting. <b><u>Standards 10 &amp; 11 should be utilized for persons on waiting lists &amp; for persons receiving case management only.</u></b></p>
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Standard Being Assessed							
1. If there is any restrictive intervention or psychotropic medication being used for or by the person, the person and support team have examined, determined and documented it to be the least restrictive intervention appropriate for this person.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
2. If there is any restrictive intervention or psychotropic medication being used for or by the person, positive supports, accommodations and effective services have been considered, documented and are consistently present in the person's life.							

Standard Being Assessed							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
3. If there is any restrictive intervention or psychotropic medication being used for or by the person, the person and his/her guardian have received information about risks, benefits, side effects and alternatives, and have given voluntary, informed, documented consent for the use of the intervention or medication.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
4. If there is a restrictive intervention or psychotropic medication being used <i>as a behavior support</i> for or by the person, the behavioral issue being addressed is clearly defined, together with a description of how it's frequency and severity will be measured, as well as a description of how often the support will be reviewed and what criteria will be used for the reduction or elimination (only when appropriate) of the intervention or medication.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
5. If there is a restrictive intervention or psychotropic medication being used <i>as a behavior support</i> for or by the person, staff have been trained in the appropriate use of the support, are accurately documenting the frequency and severity of the behavioral issue involved, and are consistently providing related positive behavioral supports.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							
6. If there is a restrictive intervention or psychotropic medication being used <i>as a behavior support</i> for or by the person, the provider is periodically reviewing and reporting to the person, support team and prescribing physician (when applicable), information about the frequency and severity of the behavioral issue involved, effectiveness of the intervention or medication being used, and any medication side effects.							
Meets Standard		Process In Place		Not Present		N/A	
<b>COMMENTS:</b>							

Standard Being Assessed							
7. If any psychotropic medication is being used to treat a diagnosed mental illness, the provider is periodically providing to the prescribing physician, information about the effectiveness of the medication used and any medication side effects.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
8. A behavior management committee (meeting the membership criteria described in KAR 30-63-23[b][3]) periodically reviews any use of restrictive interventions or psychotropic medications for or by the person to ensure the provisions of KAR 30-63-23 are met, and the provider is responsive to any findings or recommendations by that team.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
9. Any utilization of PRN psychotropic medication is with the express consent of the person or guardian, and per usage approval from the prescribing physician or another health care professional designated by the person or guardian.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
10. There has been no utilization of law enforcement authorities to respond to behavioral support issues in the past year, absent a direct, significant and unabated threat to the physical safety of the person or others who cannot be promptly removed from danger, unless specifically included in a plan meeting the requirements above.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							
11. There has been no utilization of physical restraint or seclusion to respond to behavioral support issues in the past year, absent a direct, significant and unabated threat to the physical safety of the person or others who cannot be promptly removed from danger, unless specifically included in a plan meeting the requirements above.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							

Standard Being Assessed							
12. If any of the established “red flags” regarding use of psychotropic medications are present in the person’s life, the person, his/her guardian, support team and provider have examined the issue(s), determined how status quo is appropriate to best meet the person’s needs, or developed a plan to modify medication usage to best meet the person’s needs.							
Meets Standard		Process In Place		Not Present		N/A	
COMMENTS:							

**Overall reviewer comments, including any areas where review indicates the presence of the standard in the person’s life “above and beyond”, such as by extraordinary efforts having been taken to ensure the standard is thoroughly met for this person; that there has been continuous pursuit of opportunities or options not readily available for this person; and/or the person perceives excellence in service provision on this issue:**

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Feedback on this survey provided on \_\_\_\_\_(date/s) to:\_\_\_\_\_ (name/s)

Feedback on this survey provided on \_\_\_\_\_(date/s) to:\_\_\_\_\_ (name/s)

Feedback on this survey provided on \_\_\_\_\_(date/s) to:\_\_\_\_\_ (name/s)

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Feedback on this survey provided on \_\_\_\_\_(date/s) to:\_\_\_\_\_ (name/s)