

Frequently Asked Questions from the Standards-Section 401

Section	Questions	Answer
R03-401	Do AAPS standards match the current SRS/KHS regulations for incident reporting? If the CMHC already follows SRS/KHS Standards regarding these standards, can that be acceptable vs. CMHC trying to meet standards for two (2) agencies regarding the same issue?	Incident Reports need to follow the protocol from the AAPS standards.
R03-401 B	When a person dies, how much information can be released to next-of-kin without breaking confidentiality or does confidentiality requirements cease when a person dies?	Under 42 C.F.R. Part 2, consent to disclose records of deceased patients may be made by the executor, administrator, or other personal representative appointed by will or by a court to manage the deceased patient's estate. If no personal representative has been appointed, the patient's spouse or, if none, any responsible family member may give the required consent. There are HIPPA rules around limited disclosure (therapy notes).
R03-401 B	For example: If we have a client that has a heart attack and we resuscitate him until the ambulance arrives and the client dies at the hospital, do we fill out an incident report?	Yes.
R03-401 B-1	If a resident is on pass and death occurs, do we still need to report it within 72 hours?	Yes. The client is still an active client.
R03-401-B-3	Does this requirement apply to outpatient or residential programs? What about abuse that occurs outside of the facility?	Yes, this applies to all modalities and all clients who are active in treatment.
R03-401 C	Documentation of leaving voice mail?	Need to use another form of communication (fax, e-mail) if you leave a voice mail.
R03-401 C	Is e-mail acceptable form of reporting of incidents?	Yes, e-mail is acceptable. An example of an incident form is available on the Standards Training toolkit.